

10. Have you at any time been convicted of any criminal offense, found liable for fraud or dishonest conduct in a civil suit, or been the subject of regulatory enforcement action in any jurisdiction? Yes No

If yes, please provide details including dates:

11. Have you ever been refused registration or licensing in any jurisdiction? Yes No

If yes, please provide details including dates:

12. Have you ever been licensed to carry on financial services business in any other jurisdiction? Yes No

If yes, please provide details including dates:

13. Are you now or have you ever been entrusted with prominent public functions as a head of state or government, a senior politician, a civil servant, a judicial or military official, a senior executive of publicly owned corporation, or an important political party official, or are you the spouse, civil partner, parent, child, grandparent, grandchild, or sibling of such a person? Yes No

If yes, please provide details including names and positions held:

14. Please provide additional information that you feel might be relevant to this affidavit:

PLACE PASSPORT OR DRIVER'S LICENSE
HERE, MAKE A CLEAR, COLOR COPY
OF THIS PAGE, AND THEN
SIGN AND NOTARIZE THE COPY.

I swear under penalty of perjury that all particulars contained in this affidavit and in the documents accompanying it or otherwise furnished in support hereof are true and correct to the best of my knowledge and belief.

[AFFIANT SIGNATURE]

[DATE]

[AFFIANT PRINTED FULL LEGAL NAME]

The person named above personally appeared before me being duly sworn, deposes and says that he/she executed the above instrument and that the statements and answers contained therein are true and correct to the best of his/her knowledge and belief.

[NOTARY SIGNATURE]

[DATE]